

# List of Problems in Global Analysis

1. Let  $M$  be a closed oriented Riemannian manifold. Show that any solution  $\omega \in \Omega^k(M)$  of the equation  $\Delta\omega = d\eta$ , where  $\eta \in \Omega^{k-1}(M)$ , is closed.
2. Prove that any cohomology class in  $H_{dR}^1(\mathbb{R}^2 \setminus \{0\})$  is represented by a harmonic 1-form.
3. Prove that on any closed connected oriented Riemannian  $n$ -manifold, any harmonic  $n$ -form is proportional to the volume form.

4. Let  $D$  be the disc in  $\mathbb{R}^2$  of unit radius centered at the origin. Find the dimension of the space

$$\{\omega \in \Omega^1(D) \mid d\omega = 0 = d^*\omega, \omega(\partial_n) = 0\},$$

where  $\partial_n$  is the unit normal field along  $\partial D$ . Also, show that the space

$$\{\omega \in \Omega^1(D) \mid \Delta\omega = 0, \omega(\partial_n) = 0\},$$

is infinite dimensional.

5. Let  $M^2$  be an oriented surface equipped with a Riemannian metric  $g$ . For an everywhere positive function  $u$ , denote  $g_u := u^2g$ . Show that if  $\omega \in \Omega^k(M)$ ,  $k = 0, 1, 2$ , we have

$$\Delta_g\omega = 0 \quad \iff \quad \Delta_{g_u}\omega = 0.$$

6. Show that a  $k$ -form  $\omega$  is harmonic if and only if  $*\omega$  is harmonic.
7. Let  $M$  be a closed oriented Riemannian four-manifold. Since for the  $*$ -operator acting on  $\Lambda^2 T^*M$  we have  $*^2 = id$ , the bundle of 2-forms splits into the  $\pm 1$ -eigenspaces:  $\Lambda^2 T^*M = \Lambda_+^2 T^*M \oplus \Lambda_-^2 T^*M$ .

(a) Show that  $\dim \Lambda_+^2 T_m^*M = \dim \Lambda_-^2 T_m^*M$  for each  $m \in M$ ;

(b) Show that  $H_{dR}^2(M)$  splits as  $H_+^2(M) \oplus H_-^2(M)$ , where  $H_\pm^2(M)$  is the maximal positive/negative subspace of the symmetric bilinear form

$$(\omega, \eta) \mapsto \int_M \omega \wedge \eta;$$

(c) Show that the sequence

$$0 \longrightarrow \Omega^0(M) \xrightarrow{d} \Omega^1(M) \xrightarrow{d^\pm} \Omega_\pm^2(M) \longrightarrow 0 \tag{1}$$

is a complex, whose homology groups are isomorphic to

$$H^0(M), \quad H^1(M), \quad \text{and} \quad H_\pm^2(M).$$

8. Denote by  $\mathcal{H}^k(M)$  the space of all harmonic  $k$ -forms on a closed oriented Riemannian manifold  $M$ . Assuming that  $\mathcal{H}^k(M)$  is finite-dimensional, show that for any we have the decomposition

$$\Omega^k(M) = \text{Im } d \oplus \mathcal{H}^k \oplus \text{Im } d^*,$$

which is in fact  $L^2$ -orthogonal.

9. Let  $\Sigma$  be a Riemann surface.
- (i) Show that for any holomorphic  $(1, 0)$  form  $\zeta$ , the real 1-forms  $\operatorname{Re} \zeta$  and  $\operatorname{Im} \zeta$  are harmonic.
  - (ii) Show that for any real harmonic 1-form  $\omega$  there exists a holomorphic  $(1, 0)$  form  $\zeta$  such that  $\operatorname{Re} \zeta = \omega$ .
10. Let  $M$  and  $N$  be two closed orientable manifolds of the same dimension  $n$ . Assume, moreover, that  $N$  is connected. Pick  $\omega \in \Omega^n(N)$  such that  $\int_N \omega = 1$  and define  $\deg f = \int_M f^* \omega$ .
- (i) Show that  $\deg f$  is well-defined;
  - (ii) Show that  $\deg f$  is in fact an integer;
  - (iii) Show that  $f$  is surjective whenever  $\deg f \neq 0$ ;
  - (iv) Let  $f: \Sigma_1 \rightarrow \Sigma_2$  be a holomorphic map between compact Riemann surfaces and let  $F(z)$  be a coordinate representation of  $f$  with respect to a local holomorphic coordinate  $z$  centered at some  $p \in \Sigma_1$  (and a local holomorphic coordinate on  $\Sigma_2$ ). A non-negative integer  $m = m(p)$  is said to be a multiplicity of  $f$  at  $p$  if  $F$  can be represented in the form  $F(z) = F(0) + z^m F_1(z)$ , where  $F_1(0) \neq 0$ . Show that for any  $q \in \Sigma_2$  we have
 
$$\deg f = \sum_{p \in f^{-1}(q)} m(p).$$
  - (v) Let  $f: \Sigma_1 \rightarrow \Sigma_2$  be a holomorphic map between compact Riemann surfaces. Show that  $\deg f \geq 0$ . Moreover,  $\deg f = 0$  if and only if  $f$  is constant and  $\deg f = 1$  if and only if  $f$  is a biholomorphism.
  - (vi) Prove the following: If a compact Riemann surface  $\Sigma$  admits a meromorphic function with a unique simple pole, then  $\Sigma$  is biholomorphic to  $\mathbb{C}P^1$ .
11. Let  $\Sigma$  be a Riemann surface diffeomorphic (homeomorphic) to the torus. Prove that for any two distinct points  $p_1, p_2 \in \Sigma$  there exists a meromorphic function  $f$  on  $\Sigma$  such that both  $p_1$  and  $p_2$  are simple poles of  $f$  and  $f$  is holomorphic on  $\Sigma \setminus \{p_1, p_2\}$ . Also, show that the following limiting case holds: for any  $p \in \Sigma$  there exists a meromorphic function  $g$  with a unique pole at  $p$  of order 2.
12. Prove that the wedge-product of harmonic forms does not need to be harmonic (*Hint:* Take a compact Riemann surface  $\Sigma$  of genus  $\geq 2$ . Pick a non-trivial holomorphic  $(1, 0)$  form  $\zeta$ . Show that  $\operatorname{Re} \zeta \wedge \operatorname{Im} \zeta \neq 0$  must vanish somewhere and therefore cannot be harmonic.)
13. Prove that the tangent bundle of the 2-sphere is non-trivial.
14. Show that there is a non-trivial bundle  $E \rightarrow M$  such that  $E \oplus \underline{\mathbb{R}}^k$  is trivial, where  $\underline{\mathbb{R}}^k$  denotes the trivial vector bundle of rank  $k$ .
15. Let  $E \rightarrow I = [0, 1]$  be a vector bundle.
- (a) Pick a connection  $\nabla$  on  $E$ . Show that for any  $v \in E_0$  there exists a unique section  $s_v$  such that  $\nabla s_v = 0$  and  $s_v(0) = v$ .
  - (b) Show that any bundle  $E \rightarrow I$  is trivial.

- (c) Show that for any vector bundle  $E \rightarrow M \times I$  we have  $E \cong \pi_1^* E|_{M \times \{0\}} = E|_{M \times \{0\}} \times I$ , where  $\pi_1: M \times I \rightarrow M$  is the natural projection.
- (d) Let  $f_0, f_1: M \rightarrow N$  be two smoothly homotopic maps. Show that  $f_0^* E \cong f_1^* E$  for any vector bundle  $E \rightarrow N$ .
- (e) Show that any vector bundle over a contractible base is trivial.

16. Denote

$$L = \{([z], w) \in \mathbb{C}\mathbb{P}^1 \times \mathbb{C}^2 \mid w = 0 \text{ or } [w] = [z]\}.$$

Define the projection map  $\pi: L \rightarrow \mathbb{C}\mathbb{P}^1$  by  $([z], w) \mapsto [z]$ . Show that  $L$  is a complex vector bundle of rank 1 over  $\mathbb{C}\mathbb{P}^1 \cong S^2$ . This is called the tautological line bundle of  $\mathbb{C}\mathbb{P}^1$ .

17. Let  $L$  be a complex line bundle, that is a complex vector bundle of rank 1, over  $S^2$  such that  $L$  admits a trivialization  $\sigma_N$  over  $S^2 \setminus \{N\}$  and a trivialization  $\sigma_S$  over  $S^2 \setminus \{S\}$ , where  $N = -S$  is the northern pole<sup>1</sup>. This yields a map  $g: S^2 \setminus \{S, N\} \rightarrow \mathbb{C}^*$  defined by

$$\sigma_S(m) = g(m)\sigma_N(m).$$

The degree of the map  $g/|g|: S^1 \rightarrow S^1$ , where the source  $S^1 \subset S^2 \setminus \{S, N\}$  is thought of as the equator, is called the degree of  $L$ . Show that the following holds:

- (i) The degree of a complex line bundle is well-defined and depends on the isomorphism class of  $L$  only.
  - (ii) The degree of the tautological bundle equals  $-1$ .
  - (iii) The degree of  $T^*S^2$  equals 2. Here  $T^*S^2$  is viewed as a complex line bundle as follows: The Hodge operator on  $T^*S^2$  satisfies  $*^2 = -id$ . Hence, elements of  $T^*S^2$  can be multiplied by complex numbers:  $(a + bi) \cdot \omega := a\omega + b * \omega$ .
  - (iv)  $\deg(L_1 \otimes L_2) = \deg L_1 + \deg L_2$ .
  - (v)  $\deg L^* = -\deg L$ , where  $L^* = \text{Hom}(L, \mathbb{C})$  is the dual line bundle.
  - (vi) For any integer  $n$  there exists a complex line bundle  $L_n$  such that  $\deg L_n = n$ .
  - (vii) Two line bundles are isomorphic if and only if their degrees are equal.
  - (viii) Prove that the tangent bundle of  $S^2$  is non-trivial.
18. (a) Let  $E \rightarrow M$  be a vector bundle and  $F \rightarrow M$  be a subbundle of  $E$ . Show that there is a subbundle  $G \subset E$  such that  $E = F \oplus G$ . In other words, any short exact sequence of vector bundles  $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$  splits.
- (b) Prove that for any vector bundle  $E$  over a compact<sup>2</sup> base  $M$  there exists a vector bundle  $F$  such that  $E \oplus F$  is trivial.
- (c) Denote by  $\text{Gr}_k(\mathbb{R}^n)$  the Grassmannian of all  $k$ -dimensional subspaces in  $\mathbb{R}^n$ . Let

$$E_{k,n} := \{(x, V) \in \mathbb{R}^n \times \text{Gr}_k(\mathbb{R}^n) \mid x \in V\}$$

be the tautological bundle over  $\text{Gr}_k(\mathbb{R}^n)$ , cf. Problem 16. Show that for any rank  $k$  vector bundle  $E$  over a compact manifold  $M$  there exists a (smooth) map  $f: M \rightarrow \text{Gr}_k(\mathbb{R}^n)$  such that  $E \cong f^* E_{k,n}$ .

<sup>1</sup>In fact any vector bundle has this property by Problem 15 (e).

<sup>2</sup>This is true for any manifold, however, a solution is slightly simpler if one assumes the base to be compact.

19. Show that any function  $f \in H^1(0, 1)$  is continuous without using the Sobolev embedding theorem.
20. Show that the function
- (i)  $f(x) = |x|$  belongs to  $H^1(-1, 1)$ ;
  - (ii)  $f(x) = |x|^{1/2}$  does not belong to  $H^1(-1, 1)$ .
21. For which values of  $a \in \mathbb{R}$  does the function  $f(x) = |x|^a$  belong to  $H^k(\mathbb{R}^n)$ ?
22. Show that there exists a function  $f \in H^1(\mathbb{R}^2)$ , which is not continuous.
23. (a) Prove the following simple version of the Sobolev embedding theorem: Show that  $H^1(0, 1)$  embeds into the Hölder space  $C^{0,1/2}(0, 1)$ .
- (b) Prove that the embedding  $H^1(0, 1) \rightarrow C^0(0, 1)$  is compact.
24. Let  $q: H \times H \rightarrow \mathbb{R}$  be a symmetric bilinear form on a Hilbert space  $H$  with the following property: for any  $u \in H$  there is some constant  $C(u) > 0$  such that  $|q(u, v)| \leq C(u)\|v\|$  for all  $v \in H$ . Show that there exists a symmetric bounded linear operator  $Q: H \rightarrow H$  such that  $\langle Qu, v \rangle = q(u, v)$ .

25. (a) Let  $\Omega \subset \mathbb{R}^n$  be a bounded domain (with a smooth boundary). Prove that the Poincaré inequality

$$\|u\|_{L^2(\Omega)} \leq C\|\nabla u\|_{L^2(\Omega)}$$

holds for any  $u \in C_0^1(\Omega) := \{u \in C^1(\mathbb{R}^n) \mid \text{supp } u \subset \Omega\}$ . Here the constant  $C$  may depend<sup>3</sup> on  $\Omega$ , but not on  $u$ .

*Remark:* One can show that for any  $u \in H^1(\Omega)$  the trace  $u|_{\partial\Omega}$  is well defined as an  $L^2$ -function, for example. With this at hand, one can define  $H_0^1(\Omega)$  as a subspace of  $H^1$ -functions with vanishing trace on the boundary.

- (b) Define  $H_0^1(\Omega)$  as a completion of  $C_0^1(\Omega)$  with respect to the  $H^1$ -norm:  $H_0^1(\Omega) := \overline{C_0^1(\Omega)}_{\|\cdot\|_{H^1}}$ . Show that

$$a(u, v) := \int_{\Omega} \langle \nabla u, \nabla v \rangle$$

is a scalar product on  $H_0^1(\Omega)$  equivalent to the standard one, i.e., there exist positive constants  $c$  and  $C$  such that

$$c\|u\|_{H^1} \leq a(u, u)^{1/2} \leq C\|u\|_{H^1}$$

holds for all  $u \in H_0^1(\Omega)$ .

- (c) A function  $u \in H_0^1(\Omega)$  is called a *weak solution* of the Poisson equation

$$\Delta u = f, \quad u|_{\partial\Omega} = 0$$

if  $a(u, \varphi) = \langle f, \varphi \rangle_{L^2}$  holds for any  $\varphi \in C_0^\infty(\Omega)$ , where  $f \in C^0(\bar{\Omega})$ . Show that any strong solution  $u \in C^2(\Omega) \cap C^0(\bar{\Omega})$  of the Poisson equation is its weak solution.

- (d) Show that the Poisson equation has a weak solution  $u \in H_0^1(\Omega)$ .

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<sup>3</sup>One can show that  $C$  may be chosen to be independent of  $\Omega$ , but the proof of this is somewhat more elaborate.

(e) Show that the energy functional  $E_f: H_0^1(\Omega) \rightarrow \mathbb{R}$ ,  $E_f(u) = \|\nabla u\|_{L^2}^2 - \langle f, u \rangle_{L^2}$  is bounded from below and  $E_f$  attains its infimum. Moreover, if  $u$  is a point of minimum of  $E_f$  and  $u \in C^2(\Omega) \cap C^0(\bar{\Omega})$ , then  $u$  is a strong solution of the Poisson equation.

26. Let  $E \rightarrow M$  be a vector bundle. Compute the symbol of an arbitrary connection  $\nabla$  on  $E$ .

27. Compute the symbol of  $d^*: \Omega^{k+1}(M) \rightarrow \Omega^k(M)$ .

28. For a complex manifold  $M$ , compute the symbol of the Dolbeault operator  $\bar{\partial}: \Omega^{p,q}(M) \rightarrow \Omega^{p,q+1}(M)$ .

29. Show that the operator

$$L: C^\infty(\mathbb{R}^3; \mathbb{H}) \rightarrow C^\infty(\mathbb{R}^3; \mathbb{H}), \quad Lu = i \partial_x u + j \partial_y u + k \partial_z u$$

is elliptic, where  $\mathbb{H}$  denotes the algebra of quaternions.

30. Is the bi-Laplacian  $u \mapsto \Delta(\Delta u)$ ,  $u \in C^\infty(\mathbb{R}^n)$ , an elliptic operator? Is  $d + d^*: \Omega^k(M) \rightarrow \Omega^{k+1}(M) \oplus \Omega^{k-1}(M)$  elliptic? Is  $d + d^*: \Omega^{\text{even}}(M) \rightarrow \Omega^{\text{odd}}(M)$  elliptic, where  $\Omega^{\text{even}}(M) := \Omega^0 \oplus \Omega^2 \oplus \dots$ ?

31. Show that any pseudo-differential operator acting on  $C_0^\infty(\mathbb{R}^n)$ , say, is an integral operator, that is of the form

$$u \mapsto \int_{\mathbb{R}^n} K(x, y) u(y) dy.$$

Compute  $K$  for the inverse of the standard Laplacian on  $\mathbb{R}^n$ .

32. Let

$$\Gamma(E_0) \xrightarrow{L_0} \Gamma(E_1) \xrightarrow{L_1} \Gamma(E_2) \tag{2}$$

be a complex, where both  $L_0$  and  $L_1$  are differential operators. Show that (2) is an elliptic complex if and only if the operator  $L_1 + L_0^*: \Gamma(E_1) \rightarrow \Gamma(E_2) \oplus \Gamma(E_0)$  is elliptic.

33. Show that Atiyah's complex (1) is elliptic.

34. Prove that a bounded linear operator  $T: H_1 \rightarrow H_2$ , where  $H_1$  and  $H_2$  are Hilbert spaces, is Fredholm if and only if there exist bounded linear maps  $S_1, S_2: H_2 \rightarrow H_1$  such that

$$S_1 \circ T = \text{id}_{H_1} + R_1 \quad \text{and} \quad T \circ S_2 = \text{id}_{H_2} + R_2,$$

where both  $R_1$  and  $R_2$  are compact.